



# AXA Investment Managers Switch or Conversion Form for OEIC and Unit Trust

**Please use this form:**

- If you wish to switch an investment in Shares/Units from one fund to Shares/Units in another fund.
- Or if you wish to convert Shares/Units in one class in a fund to Shares/Units of another Class in the same fund.
- Please note this form cannot be used to change Product (ISA to OEIC or vice versa) - for Product Switch. Complete a new application form.

**Essential information:**

- This form can only be used in respect of investments in Shares/Units in AXA Investment Managers' UK authorised funds (OEICs and Units Trusts)
- Further information on how to transfer is contained in the Terms and Conditions, Key Investor Information Document (KIID), Supplementary Information. Document (SID) and Prospectus.
- Please read the notes and important information which are contained on the back page.
- Please note that application forms which are not completed correctly or any failure to provide adequate anti-money laundering verification documents may incur delays in setting up your account and investing in the funds.
- Please find more information via <https://www.axa-im.co.uk/client-documentation>.
- Our Funds have different Unit/Share classes for the range of investors who may wish to invest with us. For each of these Unit/Share classes, there are associated fees and costs which can be found at <https://funds.axa-im.co.uk/en/individual/#charges> or in the Fund documentation (KIID or prospectus). You may be able to invest in AXA IM Funds through different Unit/Share classes by investing via a third party provider, such as a fund supermarket or platform. Each fund supermarket or platform may have different costs for the provision of their services.

If you need any further information, please call a member of our team on 0345 777 5511 from the UK or 0044 1268 448 667 from overseas. Our lines are open from 9:00am-5:30pm Monday to Friday or email us at [AXA-IM@uk.dtsystems.com](mailto:AXA-IM@uk.dtsystems.com). For further information please visit our website [www.axa-im.co.uk](http://www.axa-im.co.uk) or for online access to your account please visit [www.axa-im-investing.co.uk](http://www.axa-im-investing.co.uk).

## 1. Shareholder Information

**Form to be sent to:** AXA Investment Managers UK Limited, PO Box 10908, Chelmsford CM99 2UT

**Form sent by:** Please include international and local dialling codes for telephone

Registered Account name	Contact name
Account designation	Date of Birth <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Unit/share holder ID	Telephone no. <input type="text"/>
Email <input type="text"/>	

**Attorney details (if applicable)**

Title	Date of Birth
Surname	Forename(s)
Address	
	Postcode Country
Phone Number	Email Address

## 2. Dealing Instructions

Please identify below the Fund and the Unit/Share Class you wish to Switch/Convert from, indicating the number of Units/Shares or the cash amount, and the Fund and the Unit/Share Class you wish to Switch/Convert to. If you wish to Switch/Convert your entire holding, please write "entire holding" in the final column. Information on the Funds and Classes of Units/Shares is contained within each Fund's Prospectus and KIIDs.

**From**

Fund	ISIN	Class	Dealing Day*	Units, Shares/ Cash**	Number of Units/Shares OR Cash Amount (Pounds Sterling)
<i>Eg. AXA Sterling Credit Short Duration Bond Fund</i>	<i>GB00B5L2N222</i>	<i>Z (Acc)</i>	<i>DD/MM/YY</i>	<i>Units, Shares/Cash</i>	<i>48.567 shares or £2,000,000.55</i>
				Units, Shares/Cash	
				Units, Shares/Cash	
				Units, Shares/Cash	

**To**

Fund	ISIN	Class

\* In order for a switch/conversion to be processed at the Price on a particular Dealing Day, a completed switch/conversion form must be received at the address above before the Valuation Point on that Dealing Day. If no Dealing Day is identified above, the switch/conversion will be processed at the first Valuation Point following receipt of this switch form.

\*\* Delete as appropriate.

\*\*\* The Dealing Day on which a switch/conversion is processed will be shown on the contract note.



### 3. Declaration and Authority (In the case of joint holders, all must sign)

- I/we hereby apply to Switch/Convert the Units/Shares in the Class(es) specified in this form based on the Price at the next Valuation Point after receipt of this completed Switch form.
- I/we acknowledge that a Switch of Shares/Units in one Fund for Shares/Units in another Fund is treated as a redemption and subscription and there may be tax consequences of such transactions.
- I/we declare that I am/we are over 18 years of age.
- I/we have read the Terms and Conditions, the latest KIID and the SID.
- I/we confirm that I/we cannot be classified as a U.S. person(s) as defined in the Prospectus.
- I/we understand that the confirmations, representations, declarations, indemnities and warranties made or given in our/my initial application for Shares/Units are continuous and apply to this switch/conversion request.
- I/we agree, on a continuing basis, to AXA Investment Managers UK Limited making use of the delivery versus payment exemption as described in the Terms and Conditions.
- For fund Switches - I/we have read the Terms and Conditions, the latest KIID and the SID.

#### Investor 1

Name	
Signature	Date
Attorney's signature (if applicable)	
Signature	Date

#### Investor 2

Name	
Signature	Date
Attorney's signature (if applicable)	
Signature	Date

#### Investor 3

Name	
Signature	Date
Attorney's signature (if applicable)	
Signature	Date

#### Investor 4

Name	
Signature	Date
Attorney's signature (if applicable)	
Signature	Date

\* If the Unit/Shareholder is a company or other entity, please ensure that this switch form is signed by the requisite number of authorised signatories as identified in your authorised signatory list.

\*\* If you have appointed an attorney to act on your behalf, we require you to sign in conjunction with your attorney, unless there is a physical or mental incapacity. You also need to enclose a certified copy power of attorney confirming the appointment of your attorney, if you have not already registered one with us in respect of this account. Further, before we accept an application, we may make electronic checks on the identity and address of your appointed attorney and may also ask for documentary evidence for verification purposes.

AXA Investment Managers UK Limited (AXA IM UK) is the Authorised Corporate Director, Authorised Fund Manager and Investment Manager for a range of Open Ended Investment Companies (OEICs) and Authorised Unit Trusts. AXA IM UK is registered in England and Wales No. 01431068. Registered office: 22 Bishopsgate London EC2N 4BQ. AXA IM UK is authorised and regulated by the Financial Conduct Authority (No. 119368). Administration office: PO Box 10908, Chelmsford, CM99 2UT. Tel: 0345 777 5511. As part of our commitment to quality service, telephone calls may be recorded.