

# AXA Investment Managers Switch Form

This switch form is to be used in respect of an investment in shares/units in AXA Investment Managers' UK authorised funds (OEICs and unit trusts). Unless otherwise defined, capitalised terms used in this form shall have the meaning given to them in the Terms and Conditions and relevant Prospectus.

The form can be used to switch an investment in Shares/Units in one Fund to Shares/Units in another Fund. The form can also be used to convert Shares/Units in one Class in a Fund to Shares/Units of another Class in the same Fund.

Please note that switch forms that are not completed correctly may result in a delay in effecting the switch/conversion.

## 1. Shareholder Information

### Form to be sent to

XA Investment Managers UK Limited, PO Box 10908, Chelmsford CM 99 2UT.

### Form sent by

Please include international and local dialling codes for telephone and fax numbers. Contract notes and month end statements are distributed by email.

Registered Account name	Contact name
Account designation	Telephone no.
Unit/share holder ID	Email
Tax Identification Number*	

\*If you are a UK Tax resident and not a tax resident anywhere else and also not a US citizen, you are not required to provide details of your 'Tax Identification Number', or if you are not resident in a jurisdiction that is reportable under CRS or FATCA and also not a US citizen, you are not required to provide your 'Tax Identification Number'.

### Attorney details (if applicable)

Title	
Surname	Forenames (in full)
Address	
	Postcode
	Country
Phone Number	Email Address

## 2. Dealing Instructions

Please identify below the Fund and the Unit/Share Class you wish to switch/convert from, indicating the number of Units/Shares or the cash amount, and the Fund and the Unit/Share Class you wish to switch/convert to. If you wish to switch/convert your entire holding, please write "entire holding" in the final column. Information on the Funds and Classes of Units/Shares is contained within each Fund's Prospectus and KIIDs.

### From

Fund	ISIN	Class	Dealing Day*	Units, Shares/ Cash**	Number of Units/Shares OR & Cash Amount (Pounds Sterling)
<i>Eg. AXA Sterling Credit Short Duration Bond Fund</i>	<i>GB00B5L2N222</i>	<i>Z (Acc)</i>	<i>DD/MM/YY</i>	<i>Units, Shares/Cash</i>	<i>48.567 shares or £2,000,000.55</i>
				Units, Shares/Cash	
				Units, Shares/Cash	
				Units, Shares/Cash	

### To

Fund	ISIN	Class

\* In order for a switch/conversion to be processed at the Price on a particular Dealing Day, a completed switch/conversion form must be received at the address above before the Valuation Point on that Dealing Day. If no Dealing Day is identified above, the switch/conversion will be processed at the first Valuation Point following receipt of this switch form.

\*\* Delete as appropriate.

\*\*\* The Dealing Day on which a switch/conversion is processed will be shown on the contract note.

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## 3. Declaration and Authority

- We/I hereby apply to switch/convert the Units/Shares in the Class(es) specified in this switch form based on the Price at the next Valuation Point after receipt of this completed switch form.
- We/I acknowledge that a switch of Shares/Units in one Fund for Shares/Units in another Fund is treated as a redemption and subscription and there may be tax consequences of such transactions.
- We/I understand that the confirmations, representations, declarations, indemnities and warranties made or given in our/my initial application for Shares/Units are continuous and apply to this switch/conversion request.
- We/I agree, on a continuing basis, to AXA Investment Managers UK Limited making use of the delivery versus payment exemption as described in the Terms and Conditions.

### Investor 1

Name	
Signature	Date

Attorney's signature (if applicable)	
Signature	Date

### Investor 2

Name	
Signature	Date

Attorney's signature (if applicable)	
Signature	Date

### Investor 3

Name	
Signature	Date

Attorney's signature (if applicable)	
Signature	Date

### Investor 4

Name	
Signature	Date

Attorney's signature (if applicable)	
Signature	Date

\* If the Unit/Shareholder is a company or other entity, please ensure that this switch form is signed by the requisite number of authorised signatories as identified in your authorised signatory list.

\*\*If you have appointed an attorney to act on your behalf, we require you to sign in conjunction with your attorney, unless there is a physical or mental incapacity. You also need to enclose a certified copy power of attorney confirming the appointment of your attorney, if you have not already registered one with us in respect of this account. Further, before we accept an application, we may make electronic checks on the identity and address of your appointed attorney and may also ask for documentary evidence for verification purposes.

AXA is a worldwide leader in financial protection and wealth management. AXA Investment Managers UK Limited (AXA IM UK) is the Authorised Corporate Director, Authorised Fund Manager and Investment Manager for a range of Open Ended Investment Companies (OEICs) and Authorised Unit Trusts. AXA IM UK is registered in England and Wales No. 01431068. Registered office: 22 Bishopsgate London EC2N 4BQ. AXA IM UK is authorised and regulated by the Financial Conduct Authority (No. 119368). Administration office: PO Box 10908, Chelmsford, CM99 2UT. Tel: 0345 777 5511. As part of our commitment to quality service, telephone calls may be recorded.

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